## Chapter 6:

## Approximate Shortcut Methods

## for Multi-component Distillation

### 6.1 Total Reflux: Fenske Equation

For a multi-component (i.e. > 1) distillation with the total reflux as shown Figure 6.1, the equation for vapour-liquid equilibrium (VLE) at the re-boiler for any 2 components (e.g., species $A$ and $B$ ) can be formulated as follows

$$
\begin{equation*}
\left(\frac{y_{A}}{y_{B}}\right)_{R}=\alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.1}
\end{equation*}
$$

(note that sub-script $\boldsymbol{R}$ denotes a re-boiler)


Figure 6.1: A distillation column with the total reflux
(from "Separation Process Engineering" by Wankat, 2007)

Eq. 6.1 is, in fact, the relationship that represents the relative volatility of species $A$ and $B$ $\left(\alpha_{A B}\right)$ we have learned previously:

$$
\begin{equation*}
\alpha_{A B}=\frac{y_{A} / x_{A}}{y_{B} / x_{B}} \tag{6.2}
\end{equation*}
$$

Performing the material balances for species
$A$ (assuming that species $\boldsymbol{A}$ is the more volatile component: MVC) around the re-boiler gives

$$
\bar{L} x_{A, N}=\bar{V} y_{A, R}+B x_{A, R}
$$

Or

$$
\begin{equation*}
\bar{V} y_{A, R}=\bar{L} x_{A, N}-B x_{A, R} \tag{6.3}
\end{equation*}
$$

Doing the same for species $B$ yields

$$
\begin{equation*}
\bar{V} y_{B, R}=\bar{L} x_{B, N}-B x_{B, R} \tag{6.4}
\end{equation*}
$$

Since this is a total reflux distillation,

$$
B=0
$$

which results in the fact that

$$
\bar{V}=\bar{L}
$$

With the above facts, Eq. 6.3 becomes

$$
\begin{gather*}
\bar{V} y_{A, R}=\bar{L} x_{A, N} \\
\frac{y_{A, R}}{x_{A, N}}=\frac{\bar{L}}{\bar{V}}=1 \\
y_{A, R}=x_{A, N} \tag{6.5}
\end{gather*}
$$

Doing the same for Eq. 6.4 results in

$$
\begin{equation*}
y_{B, R}=x_{B, N} \tag{6.6}
\end{equation*}
$$

Eqs 6.5 and 6.6 confirm that the operating line for the total reflux distillation is, in fact, the $y=x$ line

Combining Eqs 6.5:

$$
\begin{equation*}
y_{A, R}=x_{A, N} \tag{6.5}
\end{equation*}
$$

and Eq. 6.6:

$$
\begin{equation*}
y_{B, R}=x_{B, N} \tag{6.6}
\end{equation*}
$$

with Eq. 6.1:

$$
\begin{equation*}
\left(\frac{y_{A}}{y_{B}}\right)_{R}=\alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.1}
\end{equation*}
$$

gives

$$
\begin{equation*}
\left(\frac{x_{A}}{x_{B}}\right)_{N}=\alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.7}
\end{equation*}
$$

Applying Eq. 6.1 to stage $N$ yields the VLE equation for stage $N$ as follows

$$
\begin{equation*}
\left(\frac{y_{A}}{y_{B}}\right)_{N}=\alpha_{N}\left(\frac{x_{A}}{x_{B}}\right)_{N} \tag{6.8}
\end{equation*}
$$

Performing material balances for species $A$ and $B$ around stage $N$ (in a similar manner as per the re-boiler) results in

$$
\begin{align*}
& y_{A, N}=x_{A, N-1}  \tag{6.9}\\
& y_{B, N}=x_{B, N-1} \tag{6.10}
\end{align*}
$$

Once again, combining Eqs. 6.9 and 6.10 with Eq. 6.8 in a similar way as done for Eqs. 6.5 and 6.6 with Eq. 6.1 gives

$$
\begin{equation*}
\left(\frac{x_{A}}{x_{B}}\right)_{N-1}=\alpha_{N}\left(\frac{x_{A}}{x_{B}}\right)_{N} \tag{6.11}
\end{equation*}
$$

Combining Eq. 6.11 with Eq. 6.7 yields

$$
\begin{equation*}
\left(\frac{x_{A}}{x_{B}}\right)_{N-1}=\alpha_{N} \alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.12}
\end{equation*}
$$

By doing the same for stage $N-1$, we obtain

$$
\left(\frac{x_{A}}{x_{B}}\right)_{N-2}=\alpha_{N-1} \alpha_{N} \alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R}
$$

Hence, by performing the similar derivations until we reach the top of the distillation (i.e. stage 1) with the output of $x_{A, \text { dist }}$ and $x_{B, \text { dist }}$, we obtain the following equation:

$$
\begin{equation*}
\left(\frac{x_{A}}{x_{B}}\right)_{\mathrm{dist}}=\alpha_{1} \alpha_{2} \alpha_{3} \ldots \alpha_{N-1} \alpha_{N} \alpha_{R}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.14}
\end{equation*}
$$

Let's define $\alpha_{A B}$ as the geometric-average relative volatility, with can be written mathematically as follows

$$
\alpha_{A B}=\left(\alpha_{1} \alpha_{2} \alpha_{3} \ldots \alpha_{N-1} \alpha_{N} \alpha_{R}\right)^{\frac{1}{N_{\min }}}
$$

where $N_{\text {min }}$ is the number of of equilibrium stages for the total reflux distillation

Thus, Eq. 6.14 can be re-written as

$$
\begin{equation*}
\left(\frac{x_{A}}{x_{B}}\right)_{\text {dist }}=\alpha_{A B}^{N_{\text {min }}}\left(\frac{x_{A}}{x_{B}}\right)_{R} \tag{6.15}
\end{equation*}
$$

Solving for $N_{\text {min }}$ results in

$$
\begin{equation*}
N_{\min }=\frac{\ln \left[\frac{\left(\frac{x_{A}}{x_{B}}\right)_{\text {dist }}}{\left(\frac{x_{A}}{x_{B}}\right)_{\mathrm{R}}}\right]}{\ln \alpha_{A B}} \tag{6.16}
\end{equation*}
$$

Eq. 6.16 can also be written in another form as follows

$$
\begin{equation*}
N_{\min }=\frac{\ln \left[\frac{\left(\frac{D x_{A}}{D x_{B}}\right)_{\text {dist }}}{\left(\frac{B x_{A}}{B x_{B}}\right)_{\mathrm{R}}}\right]}{\ln \alpha_{A B}} \tag{6.17}
\end{equation*}
$$

As we have learned from Chapter 5,

$$
\begin{equation*}
D x_{A, \text { dist }}=\left(F R_{A}\right)_{\text {dist }} F z_{A} \tag{6.18}
\end{equation*}
$$

(see Eq. 5.6 on Page 8 of Chapter 5)
and

$$
B x_{A, R}=\left[1-\left(F R_{A}\right)_{\text {dist }}\right] F z_{A}
$$

(see Eq. 5.10 on Page 10 of Chapter 5)

Note that $B x_{A, R} \equiv B x_{A, b o t}$

We can also write the similar equations as per Eqs. 6.18 and 6.19 for species $B$ (try doing it yourself)

Combining Eqs. 6.18 and 6.19 and the corresponding equations for species $B$ with Eq. 6.17, and re-arranging the resulting equation gives

$$
N_{\text {min }}=\frac{\ln \left\{\frac{\left(F R_{A}\right)_{\text {dist }}\left(F R_{B}\right)_{\mathrm{bot}}}{\left[1-\left(F R_{A}\right)_{\mathrm{dist}}\right]\left[1-\left(F R_{B}\right)_{\mathrm{bot}}\right]}\right\}}{\ln \alpha_{A B}}
$$

(6.20)

Note that $\left(F R_{B}\right)_{\text {bot }}$ is the fractional recovery of species $B$ in the bottom product

When there are only 2 components (i.e. a binary mixture), Eq. 6.16 can be written as follows

$$
N_{\min }=\frac{\ln \left\{\frac{\left[x_{A} /\left(1-x_{A}\right)\right]_{\text {dist }}}{\left[x_{A} /\left(1-x_{A}\right)\right]_{\mathrm{bot}}}\right\}}{\ln \alpha_{A B}}
$$

(6.21)

Eq. 6.20 can also be written for species $C$ and $B$ in the multi-component system (where $C$ is a non-key component, but $B$ is a key component) as follows

$$
N_{\text {min }}=\frac{\ln \left\{\frac{\left(F R_{C}\right)_{\text {dist }}\left(F R_{B}\right)_{\text {bot }}}{\left[1-\left(F R_{C}\right)_{\text {dist }}\right]\left[1-\left(F R_{B}\right)_{\mathrm{bot}}\right]}\right\}}{\ln \alpha_{C B}}
$$

(6.22)

Solving Eq. 6.22 for $\left(F R_{C}\right)_{\text {dist }}$ results in

$$
\left(F R_{C}\right)_{\text {dist }}=\frac{\alpha_{C B}^{N_{\min }}}{\left[\frac{\left(F R_{B}\right)_{\mathrm{bot}}}{1-\left(F R_{B}\right)_{\mathrm{bot}}}\right]+\alpha_{C B}^{N_{\min }}}
$$

(6.23)

The derivations and the resulting equations above were proposed by Merrell Fenske, a Chemical Engineering Professor at the Pennsylvania State University (published in Industrial and Engineering Chemistry, Vol. 24, under the topic of "Fractionation of straight-run Pensylvania gasoline" in 1932)

The following Example illustrates the application of the Fenske equation to the multi-component distillation with the total reflux

Example An atmospheric distillation column with a total condenser and a partial re-boiler is used to separate a mixture of $40 \mathrm{~mol} \%$ benzene, $30 \%$ toluene, and $30 \%$ cumene, in which the feed is input as a saturated vapour

## It is required that $\mathbf{9 5 \%}$ of toluene be in the

 distillate and that $\mathbf{9 5 \%}$ of cumene be in the
## bottom

If the CMO is assumed and the reflux is a saturated liquid, determine a) the number of equilibrium stage for total reflux distillation and b) the fractional recovery of benzene in the distillate $\left[\left(F R_{\text {benzene }}\right)_{\text {dist }}\right]$

Given the constant volatilities with respect to toluene as $\alpha_{\text {benz-tol }}=2.25$ and $\alpha_{\text {cume-tol }}=0.21$

Since the fractional recoveries of toluene (in the distillate-95\%) and cumene (in the bottom$95 \%$ ) are specified, both toluene and cumene are the key components

By considering the relative volatilities of toluene ( $=1.0$ - with respect to toluene itself) and cumeme ( $=0.21$ - with respect to toluene), it is evident that toluene is more volatile than cumene

Accordingly,

- toluene is the light key component (LK)
- cumene is the heavy key component (HK)

Therefore, benzene is the non-key component

As the relative volatility of benzene is higher than that of toluene, which is the LK, benzene is the light non-key component (LNK)

It is given, in the problem statement, that

- $z_{\text {toluene }}=0.30$
- $z_{\text {cumene }}=0.30$
- $z_{\text {benzene }}=0.40$
- $\left(F R_{\text {toluene }}\right)_{\text {dist }}=0.95$
- $\left(F R_{\text {cumene }}\right)_{\text {bot }}=0.95$

Let's denote

- toluene $\equiv A$ (the LK)
- cumene $\equiv B$ (the HK)
- benzene $\equiv C$ (the LNK)

Hence, the number of minimum equilibrium stages $\left(N_{\text {min }}\right)$ for the distillation with the total reflux can be computed, using Eq. 6.20, as follows

$$
\begin{aligned}
N_{\text {min }} & \left.=\frac{\ln \left\{\frac{\left(F R_{A}\right)_{\text {dist }}\left(F R_{B}\right)_{\text {bot }}}{\left[1-\left(F R_{A}\right)_{\text {dist }}\right]\left[1-\left(F R_{B}\right)_{\mathrm{bot}}\right.}\right]}{\ln \alpha_{A B}}\right\} \\
N_{\text {min }}= & \ln \left\{\frac{\left(F R_{A}\right)_{\text {dist }}\left(F R_{B}\right)_{\text {bot }}}{\ln \left(\frac{1}{\alpha_{B A}}\right)}\right\}
\end{aligned}
$$

or

$$
N_{\min }=\frac{\ln \left\{\frac{\left(F R_{\text {toluene }}\right)_{\text {dist }}\left(F R_{\text {cumene }}\right)_{\text {bot }}}{\left[1-\left(F R_{\text {toluene }}\right)_{\text {dist }}\right]\left[1-\left(F R_{\text {cumene }}\right)_{\text {bot }}\right.}\right\}}{\ln \left(\frac{1}{\alpha_{\text {cume-tol }}}\right)}
$$

(6.24)

Note that, as $\alpha_{A B}$ is defined as

$$
\alpha_{A B}=\frac{y_{A} / x_{A}}{y_{B} / x_{B}}
$$

by using the same principle, we obtain the fact that

$$
\alpha_{B A}=\frac{y_{B} / x_{B}}{y_{A} / x_{A}}
$$

Accordingly,

$$
\alpha_{B A}=\frac{1}{\alpha_{A B}}
$$

Substituting corresponding numerical values into Eq. 6.24:

$$
N_{\text {min }}=\frac{\ln \left\{\frac{\left(F R_{\text {toluene }}\right)_{\text {dist }}\left(F R_{\text {cumene }}\right)_{\text {bot }}}{\left[1-\left(F R_{\text {toluene }}\right)_{\text {dist }}\left[J 1-\left(F R_{\text {cumene }}\right)_{\text {bot }}\right.\right.}\right\}}{\ln \left(\frac{1}{\alpha_{\text {cume tol }}}\right)}
$$

yields

$$
\begin{gathered}
N_{\min }=\frac{\ln \left\{\frac{(0.95)(0.95)}{[1-(0.95)][1-(0.95)]}\right\}}{\ln \left(\frac{1}{0.21}\right)} \\
N_{\min }=3.8
\end{gathered}
$$

Then, Eq. 6.23:

$$
\left(F R_{C}\right)_{\text {dist }}=\frac{\alpha_{C B}^{N_{\min }}}{\left[\frac{\left(F R_{B}\right)_{\mathrm{bot}}}{1-\left(F R_{B}\right)_{\mathrm{bot}}}\right]+\alpha_{C B}^{N_{\text {min }}}}
$$

(6.23)
is employed to compute the fractional recovery of the $\mathbf{L N K}$ ( = benzene in this Example) in the distillate

Note that $\alpha_{C B}$ in this Example is $\alpha_{\text {benz-cume }}$, but from the given data, we do NOT have the value of $\alpha_{\text {benz-cume }}$

## How can we determine this value?

We are the given the values of

$$
\begin{align*}
\text { - } \alpha_{\text {benz-tol }} & =\frac{y_{\text {benz }} / x_{\text {benz }}}{y_{\text {tol }} / x_{\text {tol }}}=2.25  \tag{6.25}\\
\text { - } \alpha_{\text {cume-tol }} & =\frac{y_{\text {cume }} / x_{\text {cume }}}{y_{\text {tol }} / x_{\text {tol }}}=0.21 \tag{6.26}
\end{align*}
$$

(6.25)/(6.26) gives

$$
\frac{\alpha_{\text {benz-tol }}}{\alpha_{\text {cume-tol }}}=\frac{\frac{y_{\text {benz }} / x_{\text {benz }}}{y_{\text {tol }} / x_{\text {tol }}}}{\frac{y_{\text {cume }} / x_{\text {cume }}}{y_{\text {tol }} / x_{\text {tol }}}}=\frac{y_{\text {benz }} / x_{\text {benz }}}{y_{\text {cume }} / x_{\text {cume }}}=\alpha_{\text {benz-cume }}
$$

(6.27)

## Substituting corresponding numerical values

## into Eq. 6.27 results in

$$
\begin{gathered}
\alpha_{\text {benz-cume }}=\frac{y_{\text {benz }} / x_{\text {benz }}}{y_{\text {cume }} / x_{\text {cume }}}=\frac{\alpha_{\text {benz-tol }}}{\alpha_{\text {cume-tol }}} \\
\alpha_{\text {benz-cume }}=\frac{\alpha_{\text {benz-tol }}}{\alpha_{\text {cume-tol }}} \\
\alpha_{\text {benz-cume }}=\frac{\alpha_{\text {benz-tol }}}{\alpha_{\text {cume-tol }}}=\frac{2.25}{0.21}=10.7
\end{gathered}
$$

Thus, the fractional recovery of benzene $($ species $C)$ in the distillate $\left(F R_{C}\right)_{\text {dist }}$ can be computed, using Eq. 6.23, as follows

$$
\begin{aligned}
&\left(F R_{C}\right)_{\text {dist }}=\frac{\alpha_{C B}^{N_{\text {min }}}}{\left[\frac{\left(F R_{B}\right)_{\mathrm{bot}}}{1-\left(F R_{B}\right)_{\mathrm{bot}}}\right]+\alpha_{C B}^{N_{\text {min }}}} \\
&=\frac{\alpha_{\mathrm{benz}}^{N_{\text {min }}}}{\left[\frac{\left(F R_{\text {cume }}\right)_{\mathrm{bot}}}{1-\left(F R_{\text {cume }}\right)_{\mathrm{bot}}}\right]+\alpha_{\text {benz-cume }}^{N_{\text {min }}}} \\
&=\frac{(10.7)^{3.8}}{\left[\frac{(0.95)}{1-(0.95)}\right]+(10.7)^{3.8}} \\
&\left(F R_{C}\right)_{\text {dist }}=\left(F R_{\text {benz }}\right)_{\text {dist }}=0.998
\end{aligned}
$$

It is evident that the fractional recovery of the $L N K$ in this distillate is close to unity (1.0)

### 6.2 Minimum Reflux: Underwood Equations

We have just learned how to calculate important variables [e.g., $N_{\text {min }},\left(F R_{i}\right)_{\text {dist }}$ ] numerically for the case of total reflux using an approximate shortcut technique of Fenske (1932)

Is there such a technique for the case of $m i$ nimum reflux?

For a binary (i.e. 2-component) mixture, the pinch point usually (but NOT always) occurs when the top and the bottom operating lines cross each other on the equilibrium line as shown in Figure 6.2


Figure 6.2: The pinch point for a binary mixture without the azeotrope
(from "Separation Process Engineering" by Wankat, 2007)

Note that an exception that the pinch point is not at the intersection of the top operating line, the bottom operating line, and the equilibrium line is as illustrated in Figure 6.3


Figure 6.3: The pinch point for a binary mixture with the azeotrope
(from "Separation Process Engineering" by Wankat, 2007)

The case that the pinch point is the intersection point of the intersection of the top operating line, the bottom operating line, and the equilibrium line as illustrated by Figure 6.2 can also be extended to the multi-component systems
A.J.V. Underwood developed a procedure to calculate the minimum reflux ratio (published in Chemical Engineering Progress, Vol. 34, under the topic of "Fractional distillation of multi-component mixtures" in 1948), which comprises a number of equations

The development of Underwood equations is rather complex, and it is not necessary, especially for practicing engineers, to understand all the details of the development/derivations

To be practical, we shall follow an approximate derivation of R.E. Thompson (published in AIChE Modular Instructions, Series B, Vol. 2, under the topic of "Shortcut design methodminimum reflux" in 1980), which is good enough for engineering calculations

Consider the enriching/rectifying section of a distillation column as shown in Figure 6.4


Figure 6.4: The enriching or rectifying section of the distillation column
(from "Separation Process Engineering" by Wankat, 2007)

Performing a material balance for species $i$ for the enriching/rectifying section in the case of minimum reflux ratio gives

$$
\begin{equation*}
y_{i, j+1} V_{\min }=x_{i, j} L_{\min }+x_{i, \text { dist }} D \tag{6.28}
\end{equation*}
$$

Since the pinch point is at the intersection of the top operating line, the bottom operating line, and the equilibrium line, the compositions (around the pinch point) are constant; i.e.

$$
\begin{equation*}
x_{i, j-1}=x_{i, j}=x_{i, j+1} \tag{6.29a}
\end{equation*}
$$

and

$$
\begin{equation*}
y_{i, j-1}=y_{i, j}=y_{i, j+1} \tag{6.29b}
\end{equation*}
$$

The equilibrium equation of species $i$ at stage $j+1$ can be written as follows

$$
\begin{equation*}
y_{i, j+1}=K_{i} x_{i, j+1} \tag{6.30}
\end{equation*}
$$

Combining Eq. 6.28 with Eqs. 6.29 ( $\mathrm{a} \& \mathrm{~b}$ ) and 6.30 results in

$$
V_{\min } y_{i, j+1}=L_{\min } \frac{y_{i, j+1}}{K_{i}}+D x_{i, \mathrm{dist}}
$$

(6.31)

Let's define the relative volatility of species $i$, $\alpha_{i}$, as

$$
\begin{equation*}
\alpha_{i}=\frac{K_{i}}{K_{\mathrm{ref}}} \tag{6.32}
\end{equation*}
$$

where $K_{\text {ref }}$ is the $K$ value of the reference species

Eq. 6.32 can be re-arranged to

$$
\begin{equation*}
K_{i}=\alpha_{i} K_{\mathrm{ref}} \tag{6.33}
\end{equation*}
$$

Combining Eq. 6.33 with Eq. 6.31 and rearranging the resulting equation yields

$$
V_{\min } y_{i, j+1}=\frac{L_{\min }}{\alpha_{i} K_{\mathrm{ref}}} y_{i, j+1}+D x_{i, \mathrm{dist}}
$$

$$
\begin{gathered}
V_{\min } y_{i, j+1}-\frac{L_{\min }}{\alpha_{i} K_{\mathrm{ref}}} y_{i, j+1}=D x_{i, \text { dist }} \\
V_{\min } y_{i, j+1}\left(1-\frac{L_{\min }}{V_{\min } \alpha_{i} K_{\mathrm{ref}}}\right)=D x_{i, \text { dist }}
\end{gathered}
$$

$$
V_{\min } y_{i, j+1}=\frac{D x_{i, \text { dist }}}{\left(1-\frac{L_{\min }}{V_{\min } \alpha_{i} K_{\mathrm{ref}}}\right)}
$$

(6.34)

Multiplying both numerator and denominator of the right hand side (RHS) of Eq. 6.34 with $\alpha_{i}$ gives

$$
V_{\min } y_{i, j+1}=\frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\frac{L_{\min }}{V_{\min } K_{\mathrm{ref}}}\right)}
$$

Taking a summation of Eq. 6.35 for all species results in

$$
\begin{array}{r}
\sum V_{\min } y_{i, j+1}=V_{\min }(1)=V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\frac{L_{\min }}{V_{\min } K_{\mathrm{ref}}}\right)} \\
(6.36)
\end{array}
$$

Performing the similar derivations for the stripping section (i.e. under the feed stage) yields

$$
\begin{equation*}
-\bar{V}_{\min }=\sum \frac{\bar{\alpha}_{i} B x_{i, \text { bot }}}{\left(\bar{\alpha}_{i}-\frac{\bar{L}_{\min }}{\bar{V}_{\min } \overline{\bar{K}}_{\mathrm{ref}}}\right)} \tag{6.37}
\end{equation*}
$$

It is important to note that, since the conditions in the enriching/rectifying section are different from those in the stripping section, we obtain the fact that, generally,

$$
\alpha_{i} \neq \bar{\alpha}_{i}
$$

and

$$
K_{\mathrm{ref}} \neq \bar{K}_{\mathrm{ref}}
$$

Underwood also defined the following terms:

$$
\begin{equation*}
\phi=\frac{L_{\min }}{V_{\min } K_{\mathrm{ref}}} \tag{6.38a}
\end{equation*}
$$

and

$$
\begin{equation*}
\bar{\phi}=\frac{\bar{L}_{\min }}{\bar{V}_{\mathrm{min}} \bar{K}_{\mathrm{ref}}} \tag{6.38b}
\end{equation*}
$$

Combining Eqs. 6.38 (a \& b) with Eqs. 6.36 and 6.37 results in

$$
\begin{equation*}
V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)} \tag{6.39}
\end{equation*}
$$

and

$$
\begin{equation*}
-\bar{V}_{\min }=\sum \frac{\bar{\alpha}_{i} B x_{i, \text { bot }}}{\left(\bar{\alpha}_{i}-\bar{\phi}\right)} \tag{6.40}
\end{equation*}
$$

$(6.39)+(6.40)$ gives

$$
\begin{equation*}
V_{\min }-\bar{V}_{\min }=\sum\left[\frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)}+\frac{\bar{\alpha}_{i} B x_{i, \text { bot }}}{\left(\bar{\alpha}_{i}-\bar{\phi}\right)}\right] \tag{6.41}
\end{equation*}
$$

When the CMO and the constant relative volatilities (i.e. $\alpha_{i}=\bar{\alpha}_{i}$ ) can be assumed, there are common values of $\phi$ and $\bar{\phi}$ (i.e. $\phi=\bar{\phi}$ ) that satisfy both Eqs. 6.39 and 6.40, thus making Eq. 6.41 become

$$
\begin{equation*}
V_{\min }-\bar{V}_{\min }=\sum\left[\frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)}+\frac{\alpha_{i} B x_{i, \text { bot }}}{\left(\alpha_{i}-\phi\right)}\right] \tag{6.42}
\end{equation*}
$$

Or

$$
\begin{equation*}
V_{\min }-\bar{V}_{\min }=\sum\left[\frac{\alpha_{i}\left(D x_{i, \text { dist }}+B x_{i, \text { bot }}\right)}{\left(\alpha_{i}-\phi\right)}\right] \tag{6.43}
\end{equation*}
$$

By performing an overall or external material balance around the whole column, we obtain the following equation:

$$
\begin{equation*}
F z_{i}=D x_{i, \text { dist }}+B x_{i, \text { bot }} \tag{6.44}
\end{equation*}
$$

Combining Eq. 6.44 with Eq. 6.43 yields

$$
V_{\min }-\bar{V}_{\min }=V_{F, \min }=\Delta V_{\text {feed }}=\sum \frac{\alpha_{i} F z_{i}}{\left(\alpha_{i}-\phi\right)}
$$

Note that $\Delta V_{\text {feed }}$ or $V_{F, \min }$ is the change in the vapour flow rate at the feed stage

If the value of $q$, which is defined as

$$
\frac{\Delta V_{\text {feed }}}{F}=\frac{V_{F}}{F}=f=1-q
$$

is known, $\Delta V_{\text {feed }}$ or $V_{F}$ can be calculated from the following equation:

$$
\begin{equation*}
\Delta V_{\text {feed }}=(1-q) F \tag{6.46}
\end{equation*}
$$

Eq. 6.45 is the first Underwood equation, used to estimate the value of $\phi$

Eq. 6.39:

$$
\begin{equation*}
V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)} \tag{6.39}
\end{equation*}
$$

is the second Underwood equation, used to compute the value of $V_{\text {min }}$

Once $V_{\text {min }}$ is known, the value of $L_{\text {min }}$ can then be calculated from the material balance equation at the condenser as follows

$$
\begin{equation*}
L_{\min }=V_{\min }-D \tag{6.47}
\end{equation*}
$$

Note that $D$ can be obtained from the following equation:

$$
\begin{equation*}
D=\sum\left(D x_{i, \text { dist }}\right) \tag{6.48}
\end{equation*}
$$

Note also that, if there are $C$ species (components), there will be $C$ values (roots) of $\phi$

The use of Underwood equations can be divided into $\mathbf{3}$ cases as follows

## Case A: Assume that all non-keys (NKs)

do not distribute; i.e. for the distillate,

$$
D x_{\mathrm{HNK}, \mathrm{dist}}=0
$$

and

$$
D x_{\mathrm{LNK}, \mathrm{dist}}=F z_{\mathrm{LNK}}
$$

while the amounts of key components (both HK and LK) are

$$
\begin{equation*}
D x_{\mathrm{LK}, \mathrm{dist}}=\left(F R_{\mathrm{LK}}\right)_{\mathrm{dist}} F z_{\mathrm{LK}} \tag{6.49}
\end{equation*}
$$

and

$$
D x_{\mathrm{HK}, \mathrm{dist}}=\left(1-F R_{\mathrm{HK}}\right)_{\mathrm{bot}} F z_{\mathrm{HK}}
$$

(6.50)

In this case (Case A), Eq. 6.45:

$$
\begin{equation*}
\Delta V_{\text {feed }}=\sum \frac{\alpha_{i} F z_{i}}{\left(\alpha_{i}-\phi\right)} \tag{6.45}
\end{equation*}
$$

can, thus, be solved for the value of $\phi$, which is between the relative volatilities of LK and HK, or $\alpha_{\text {HK }}<\phi<\alpha_{\text {LK }}$

Case B: Assume that the distributions of NKs obtained from the Fenske equation for the case of total reflux are still valid or applicable for the case of minimum reflux

## In this case (Case B), the value of $\phi$ is still

 between the relative volatilities of LK and HK, or $\alpha_{\text {HK }}<\phi<\alpha_{\text {LK }}$Case C: In this case, the exact solutions
(i.e. without having to make any assumptions as per Cases $A$ and $B$ ) are obtained

As mentioned earlier, if there are $C$ species, there will be $C$ values for $\phi$

Thus, we can have $C-1$ degree of freedoms, which yields $C-1$ equations for Eq. 6.39:

$$
\begin{equation*}
V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)} \tag{6.39}
\end{equation*}
$$

and there are $C-1$ unknowns (i.e. $V_{\min }$ and $D x_{i, \text { dist }}$ for all LNK and HNK)

With $C-1$ unknowns and $C-1$ equations,
the value of $\phi_{i}$ for each species can be solved as follows
$\alpha_{\mathrm{HNK}, 1}<\phi_{1}<\alpha_{\mathrm{HNK}, 2}<\phi_{2}<\alpha_{\mathrm{HK}}<\ldots<\alpha_{\mathrm{LK}}<\phi_{\mathrm{C}-1}<\alpha_{\mathrm{LNK}, 1}$

The following Example is the illustration of the application of the Underwood equations

Example For the same distillation problem on Page 13, determine the minimum reflux ratio, based on the feed rate of $100 \mathrm{kmol} / \mathrm{h}$

Since it is given that the feed is a saturated vapour, $q=0$, which results in

$$
\begin{aligned}
\Delta V_{\text {feed }} & =(1-q) F \\
& =(1-0)(100) \\
\Delta & V_{\text {feed }}=100
\end{aligned}
$$

Hence, Eq. 6.45 becomes

$$
\Delta V_{\text {feed }}=\sum \frac{\alpha_{i} F z_{i}}{\left(\alpha_{i}-\phi\right)}
$$

$$
\Delta V_{\text {feed }}=\frac{\alpha_{\text {benz }} F z_{\text {benz }}}{\left(\alpha_{\text {benz }}-\phi\right)}+\frac{\alpha_{\text {tol }} F z_{\text {tol }}}{\left(\alpha_{\text {tol }}-\phi\right)}+\frac{\alpha_{\text {cume }} F z_{\text {cume }}}{\left(\alpha_{\text {cume }}-\phi\right)}
$$

## Substituting corresponding numerical values

 into Eq. 6.51 gives$$
100=\frac{2.25(100)(0.40)}{(2.25-\phi)}+\frac{1.0(100)(0.30)}{(1.0-\phi)}+\frac{0.21(100)(0.30)}{(0.21-\phi)}
$$

Since the $\mathrm{LK}=$ toluene $(\alpha=1.0)$ and the HK $=$ cumeme $(\alpha=0.21)$, the value of $\phi$ is between 0.21 and 1.0

Solving Eq. 6.52 yields

$$
\phi=0.5454
$$

The next step is to determine the value of $V_{\min }$ using Eq. 6.39:

$$
V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)}
$$

Since all species (including the LNK or benzene) are distributed in both distillate and bottom products, the value of $D x_{i, \text { dist }}$ of each species can be computed from the following equation:

$$
\begin{equation*}
D x_{i, \text { dist }}=z_{i} F(F R)_{i, \text { dist }} \tag{6.53}
\end{equation*}
$$

It given, in the problem statement, (see Page 13) that

- the fraction recovery of toluene in the distillate $(F R)_{\text {tol dist }}$ is $95 \%$ or 0.95
- the fraction recovery of cumeme in the bottom $(F R)_{\text {cume, bot }}$ is $95 \%$ or 0.95 ; thus, $(F R)_{\text {cume, dist }}=1-0.95=0.05$

From the previous calculations (see Page 21),
the fractional recovery of benzene (the LNK in this Example) or $(F R)_{\text {benz, dist }}$ is found be 0.998

Substituting corresponding numerical values into Eq. 6.53 yields

$$
\begin{aligned}
& \text { - } D x_{\text {benz, dist }}=(0.40)(100)(0.998)=39.9 \\
& \text { - } D x_{\text {tol, dist }}=(0.30)(100)(0.95)=28.5 \\
& \text { - } D x_{\text {cume, dist }}=(0.30)(100)(0.05)=1.5
\end{aligned}
$$

Thus, the value of $V_{\text {min }}$ can be computed as follows

$$
\begin{gathered}
V_{\min }=\sum \frac{\alpha_{i} D x_{i, \text { dist }}}{\left(\alpha_{i}-\phi\right)} \\
V_{\min }=\frac{\alpha_{\text {benz }} D x_{\text {benz, dist }}}{\left(\alpha_{\text {benz }}-\phi\right)}+\frac{\alpha_{\text {tol }} D x_{\text {tol, dist }}}{\left(\alpha_{\text {tol }}-\phi\right)}+\frac{\alpha_{\text {cume }} D x_{\text {cume, dist }}}{\left(\alpha_{\text {cume }}-\phi\right)}
\end{gathered}
$$

$$
\begin{aligned}
V_{\min }= & \frac{(2.25)(39.9)}{(2.25-0.5454)}+\frac{(1.0)(28.5)}{(1.0-0.5454)} \\
& +\frac{(0.21)(1.5)}{(0.21-0.5454)}
\end{aligned}
$$

$$
V_{\min }=114.4
$$

We have learned that

$$
\sum\left(D x_{i, \text { dist }}\right)=D
$$

Thus, for this Example,

$$
\begin{gathered}
D=D x_{\text {benz, dist }}+D x_{\text {tol, dist }}+D x_{\text {cume, dist }} \\
=39.9+28.5+1.5 \\
D=69.9
\end{gathered}
$$

Accordingly, by using Eq. 6.47, the value of $L_{\text {min }}$ can be calculated as follows

$$
L_{\min }=V_{\min }-D=114.4-69.9=44.5
$$

Therefore, the minimum reflux ratio $\left(\frac{L}{D}\right)_{\min }$ is $\frac{44.5}{69.9}=0.64$

### 6.3 Gilliland Correlation for Number of Stages

 at Finite Reflux RatioWe have already studied how to estimate the numerical solutions for 2 extreme cases for multicomponent distillation; i.e. the total reflux case (proposed by Fenske) and the case of minimum reflux (proposed by Underwood)

In order to determine the number of stages for multi-component distillation at finite reflux ratio, there should be a correlation that utilises the results from both extreme cases (i.e. the cases of total reflux and minimum reflux)
E.R. Gilliland established a technique that empirically correlates the number of stages, $N$, at finite reflux ratio $\left(\frac{L}{D}\right)$ to the minimum number of stages, $N_{\text {min }}$ (at the total reflux) and the minimum reflux ratio $\left(\frac{L}{D}\right)_{\min } \quad$ [which yields the infinite $(\infty)$ number of stages]

The work was published in Industrial and Engineering Chemistry, Vol. 32, under the topic of "Multicomponent rectification: Estimation of the number of theoretical plates as a function of the reflux ratio" in 1940

In order to develop the correlation, Gilliland performed a series of accurate stage-by-stage calculations and found that there was a
correlation between the function $\frac{\left(N-N_{\min }\right)}{(N+1)}$ and
the function $\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]}$

The correlation firstly developed by Gilliland was later modified by C.J. Liddle [published in Chemical Engineering, Vol. 75(23), under the topic of "Improved shortcut method for distillation calculations" in 1968] and could be presented in the form of chart as shown in Figure 6.5


Figure 6.5: The Gilliland correlation (1940) chart, which was modified by Liddle in 1968
(from "Separation Process Engineering" by Wankat, 2007)

The procedure of using the Gilliland's correlation/chart is as follows

1) Calculate $N_{\min }$ using the Fenske equation
2) Calculate $\left(\frac{L}{D}\right)_{\min }$ using the Underwood's equations
3) Choose actual or operating $\frac{L}{D}$, which is normally within the range of 1.05 to 1.25 times that of $\left(\frac{L}{D}\right)_{\min }$
(note the number between 1.05 to 1.25
that uses to multiply $\left(\frac{L}{D}\right)_{\min }$ is called a multiplier, $M$ )
4) Calculate the abscissa or the value of

$$
\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]} \text { (on the } X \text {-axis) }
$$

5) Determine the ordinate or the value of $\frac{\left(N-N_{\min }\right)}{(N+1)}$ (on the $Y$-axis) using the correlating line
6) Calculate the actual number of stages,

$$
N \text { from the function } \frac{\left(N-N_{\min }\right)}{(N+1)}
$$

It is important to note that the Gilliland's correlation should be used only for rough estimates - NOT for the exact solutions

The optimal feed stage/plate can also be estimated using the following procedure

First, the Fenske equation is used to determine the minimum number of stages, $N_{\text {min }}$

Then, the optimal feed stage can be obtained by determining the minimum number of stages required to go from the feed concentrations to the distillate concentrations for the key components, $N_{F, \text { min }}$, using the following equation:

$$
\begin{equation*}
N_{F, \min }=\frac{\ln \left[\frac{\left(\frac{x_{\mathrm{LK}}}{x_{\mathrm{HK}}}\right)_{\mathrm{dist}}}{\left(\frac{z_{\mathrm{LK}}}{z_{\mathrm{HK}}}\right)}\right]}{\ln \alpha_{\mathrm{LK}-\mathrm{HK}}} \tag{6.54}
\end{equation*}
$$

Next, by assuming that the relative feed stage is constant as we change from total reflux to a finite value of reflux ratio, we obtain the following equation:

$$
\begin{equation*}
\frac{N_{F, \min }}{N_{\min }}=\frac{N_{F}}{N} \tag{6.55}
\end{equation*}
$$

which is employed to calculate the optimal feed location, $N_{F}$

Alternatively, a probably more accurate equation (proposed by C.G. Kirkbride - in Separation Process Technology by J.L. Humphrey and G.E. Keller II, 1997) is used to estimate the optimal feed stage $\left(N_{f}\right)$ as follows

$$
\begin{array}{r}
\log \left(\frac{N_{f}-1}{N-N_{f}}\right)=0.260 \log \left\{\frac{B}{D}\left(\frac{z_{\mathrm{HK}}}{z_{\mathrm{LK}}}\right)\left(\frac{x_{\mathrm{LK}, \text { bot }}}{x_{\mathrm{HK}, \text { dist }}}\right)^{2}\right\} \\
(6.56)
\end{array}
$$

Note, once again, that both Eqs. 6.55 \& 6.56 should be used only for a first guess for specifying the optimal feed location

In addition to the chart (Figure 6.5 on Page 47), the Gilliland's correlation can also be presented in the form of equation as follows (note that $x=\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]}$

For $\mathbf{0} \leq \boldsymbol{x} \leq \mathbf{0 . 0 1}$ :

$$
\begin{equation*}
\frac{\left(N-N_{\min }\right)}{(N+1)}=1.0-18.5715 x \tag{6.57}
\end{equation*}
$$

For $\mathbf{0 . 0 1}<\boldsymbol{x}<\mathbf{0 . 9 0}$ :

$$
\frac{\left(N-N_{\min }\right)}{(N+1)}=0.545827-0.591422 x+\frac{0.002743}{x}
$$

(6.58)

For $0.90<\boldsymbol{x}<\mathbf{1 . 0}$ :

$$
\frac{\left(N-N_{\min }\right)}{(N+1)}=0.16595-0.16595 x
$$

(6.59)

The use of the Gilliland's correlation to estimate the total number of stages and the optimal feed stage is illustrated in the following Example

Example Estimate the total number of equilibrium stages $(N)$ and the optimal feed stage $\left(N_{F}\right)$ for the same Example on Pages $13 \& 39$ if the actual reflux ratio $\left(\frac{L}{D}\right)$ is set at 2.0

To obtain the solutions for this Example, we follow the following procedure:

1) Calculate the value of $N_{\text {min }}$

From the Example on Page 13, we obtain

$$
N_{\min }=3.8
$$

2) Calculate the value of $\left(\frac{L}{D}\right)_{\min }$

From the Example on Page 39, we obtain

$$
\left(\frac{L}{D}\right)_{\min }=0.64
$$

3) Choose the value of the actual $\frac{L}{D}$ It is given that the actual or operating $\frac{L}{D}$ is set as 2.0
4) Calculate the abscissa (the $X$-axis of the Gilliland's chart)

The abscissa can be computed using the
values of $\left(\frac{L}{D}\right)_{\min }$ and the actual $\frac{L}{D}$ as follows

$$
\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]}=\frac{[2.0-0.64]}{[2.0+1]}=0.453
$$

5) Determine the value of ordinate (the $Y$-axis of the Gilliland's chart)

The ordinate can be read from the chart when the abscissa is known

With the abscissa, $\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]}$ of 0.453,
the ordinate is found to be

$$
\frac{\left(N-N_{\min }\right)}{(N+1)} \approx 0.27
$$

Alternatively, we can use Eq. 6.58 to com-
pute the value of the ordinate, $\frac{\left(N-N_{\min }\right)}{(N+1)}$, as follows

$$
\begin{gathered}
\frac{\left(N-N_{\min }\right)}{(N+1)}=0.545827-0.591422(0.453)+\frac{0.002743}{0.453} \\
\frac{\left(N-N_{\min }\right)}{(N+1)}=0.284
\end{gathered}
$$

Note that Eq. 6.58 is used because the value of the abscissa is between 0.01-0.90 (i.e.
$\left.x=\frac{\left[\left(\frac{L}{D}\right)-\left(\frac{L}{D}\right)_{\min }\right]}{\left[\left(\frac{L}{D}\right)+1\right]}=0.453\right)$
6) Calculate the value of $N$

The number of equilibrium stages, $N$, can be computed using the values of the ordinate and $N_{\text {min }}$ as follows

$$
\begin{gathered}
\frac{\left(N-N_{\min }\right)}{(N+1)}=0.27 \\
\frac{(N-3.8)}{(N+1)}=0.27 \\
N-3.8=0.27(N+1) \\
N-3.8=0.27 N+0.27 \\
0.73 N=4.07 \\
N=5.58
\end{gathered}
$$

The optimal feed location (stage), $N_{F}$, can then be obtained using Eqs. 6.54 and 6.55 as follows

It is given that (see Page 13)

- $z_{\mathrm{LK}}=z_{\text {tol }}=0.30$
- $z_{\text {НK }}=z_{\text {cume }}=0.30$

From the Example on Pages 39-44, we obtained the following:

$$
\begin{aligned}
& \text { - } D x_{\text {tol, dist }}=28.5 \\
& \text { - } D x_{\text {cume, dist }}=1.5 \\
& \text { - } D=\sum\left(D x_{i, \text { dist }}\right)=69.9
\end{aligned}
$$

Thus, the values of $x_{\text {tol, dist }}$ and $x_{\text {cume, dist }}$ can be computed as follows

$$
\begin{aligned}
& \text { - } x_{\text {tol, dist }}=\frac{D x_{\text {tol, dist }}}{D}=\frac{28.5}{69.9}=0.408 \\
& \text { - } x_{\text {cume, dist }}=\frac{D x_{\text {cume, dist }}}{D}=\frac{1.5}{69.9}=0.021
\end{aligned}
$$

Substituting corresponding numerical values into Eq. 6.54 results in

$$
N_{F, \min }=\frac{\ln \left[\frac{\left(\frac{0.408}{0.021}\right)}{\left(\frac{0.30}{0.30}\right)}\right]}{\ln \left(\frac{1}{0.21}\right)}=1.90
$$

Hence, the optimal feed stage for the case of finite reflux ratio ( $\frac{L}{D}=2.0$ in this Example) can be calculated using Eq. 6.55 as follows

$$
\begin{gathered}
\frac{N_{F, \text { min }}}{N_{\text {min }}}=\frac{N_{F}}{N} \\
N_{F}=\left(\frac{N_{F, \text { min }}}{N_{\text {min }}}\right) N=\left(\frac{1.90}{3.8}\right) 5.58 \\
N_{F}=2.79 \approx 3
\end{gathered}
$$



Figure 6.5: Gilliland's correlation chart (modified by Liddle in 1968)
(from "Separation Process Engineering" by Wankat, 2007)

